



## **Anti-Money Laundering and Counter Terrorism Financing and Proliferation of Weapon of Mass Destruction Policy**

### **EASY BUY Public Company Limited**

#### **Purpose and Scope of the Policy**

This Policy is intended to be a guideline for Anti-Money Laundering and Counter Terrorism Financing and Proliferation of Weapon of Mass Destruction of EASY BUY Public Company Limited ("Hereinafter referred as the Company") for all directors, executives and employees shall be engaged in Anti-Money Laundering and Counter-Terrorism Financing Law in order the Company will not become any tool or channel to introduce the proceeds from illegal activity into the financial system.

#### **Basic Principle of practice**

The Company recognizes and realizes the importance of compliance with the laws and regulatory requirements on Anti-Money Laundering Act B.E.2542 and Counter Terrorism and Proliferation of Weapon of Mass Destruction Financing Act B.E. 2559 by providing procedure manuals or regulations to enable EASY BUY staffs who deal directly with customers and handle customers' transactions to comply with this Policy and to fight against money laundering and financing of terrorism and Proliferation of Weapon of Mass Destruction effectively.

#### **Roles and Responsibilities**

1. The company has to establish the policy of risk management and risk assessment measures on various types of money laundering and counter terrorism financing and Proliferation of Weapon of Mass Destruction, also the risk from product, new technology, and existing technology including setting up branches and guideline for mitigating money laundering and counter terrorism financing and Proliferation of Weapon of Mass Destruction risk.
2. The Company shall provide process of Know Your Customer (KYC) and Customer Due Diligence (CDD) before approval / reject the establishing a business relationship with customer as follows:
  - 2.1 The Company has to Know Your Customer (KYC) by storing and verifying all customers' identification documents before consideration of loan approval every time.
  - 2.2 The Company has to provide Customer Due Diligence (CDD) by assessing and managing risks on all customers that may come from the customers, countries or regions or others in accordance with the law by providing a process to monitor and review the financial transaction of customers as always.
3. The company has to give priority to irregular transaction or suspicious transaction including cash transaction defined by law. In addition, the company has to regularly monitoring and checking customers' transactions, if any suspicious transaction is founded, the company must report to Anti-Money Laundering Office.

4. The Company has to freeze the asset of the designated persons according to the name list of AMLO announcement in order to combat the Financing of Terrorism. When it is found that any designed person is our customer or had ever been our customer, we have to report to Anti-Money Laundering Office.
5. The company has to have procedures of hiring and regularly provide employee training which are the important part of the company's Anti-Money Laundering and Counter Terrorism Financing and Proliferation of Weapon of Mass Destruction. In addition, independence internal audit and audit plan shall be provided in order to ensure the procedures are being followed the law accordingly.
6. The Company has measure of sharing information among the Company, branches, and subsidiaries of both in the country and aboard.
7. The Company is committed to the importance of customer data security by establish the measures of keeping Know Your Customer (KYC) data, Customer Due Diligence (CDD) data, financial transaction report data

## **Supplementary**

### **Amendment and Abolishment of this Policy**

Any significant amendment or abolishment of this Policy shall be proposed by Compliance Office to the Board of Directors for approval.

### **Periodic Review of this Policy**

This Policy shall be subject to review annually from the date of enforcement in principle. However, such review may be conducted in any appropriate time in case there is any significant amendment or abolishment.

This Policy was reviewed without any significant amendment, and completely acknowledged by the Board of Directors on 25 May 2023.

### **Date of Enforcement**

This Policy was considered and approved by the Board of Directors dated 20 May 2021 and shall come into force on 1 June 2021.